

1654

Patent  
Attorney Docket: 272/084



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

PELTZ, Stuart W. et al.

Serial No.: 09/625,790

Filed: July 26, 2000

For: Proteins Involved in Targeting of  
Peptidyl Transfer Center and Corresponding  
Therapeutic Agents & Method

Group Art Unit: 1652

Examiner:

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#21  
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TRANSMITTAL OF REVOCATION OF POWER OF ATTORNEY AND STATEMENT  
UNDER 37 C.F.R. 3.73(b)

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

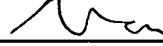
Transmitted herewith are the following original, executed documents:

- Revocation and Power of Attorney by Assignee,
- Statement Under 37 C.F.R. 3.73(b), and
- Copy of Assignment to University of Medicine & Dentistry of New Jersey for parent application 08/724,992.

No fee is believed to be due with this transmittal. However, if Applicants are mistaken, please charge any required fees to Lyon & Lyon Deposit Account No. 12-2475.

Respectfully submitted,  
LYON & LYON LLP

Dated: 7/19/02

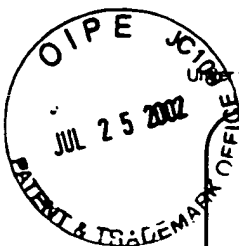
By:   
Rebekka C. Noll  
Reg. No. 46,962



22249

PATENT TRADEMARK OFFICE

LYON & LYON LLP  
633 W. Fifth Street, Suite 4700  
Los Angeles, CA 90071  
Phone: (213) 489-1600  
Fax: (213) 955-0301



# **REVOCATION AND POWER OF ATTORNEY OR AUTHORIZATION OF AGENT**

Applicati n Number 09/625,790

Filing Date July 26, 2000

First Named Inventor Stuart W. Peltz

Group Art Unit 1652

Examiner Name M. Walicka

Attorney Docket Number 272/084

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I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application and hereby appoint the practitioners at Customer Number 22249 as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:

☒ A Power of Attorney or Authorization of Agent is submitted herewith.

OR

☐ Please change the correspondence address for the above-identified application to:

☐ Customer Number

Place Customer  
Number Bar Code  
Label here

OR

☒ Firm or  
Individual Name

Lyon & Lyon LLP

Address

633 West Fifth Street, Suite 4700

Address

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Los Angeles

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State

CA

ZIP

90071

Telephone

(213) 489-1600

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(213) 955-0440

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

## **SIGNATURE of Applicant or Assignee of Record**

Name

Margaret Mary Kozl R Richardson, Esq.

Signature

*M. Richardson*

Date

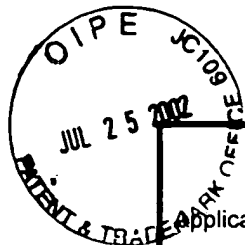
July 15, 2002

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ \*Total of 1 forms are submitted.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Stuart W. Peltz et al.

Application No./Patent No.: 09/625,790

Filed/Issue Date: July 26, 2000

Entitled: Proteins Involved in Targeting of Peptidyl Transfer Center, and Corresponding Therapeutic Agents

University of Medicine and Dentistry of New Jersey, a body corporate and politic of the State of New Jersey

(Name of Assignee)

(Type of Assignee, e.g. corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue

of either.

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel 8302, Frame 0055, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
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3. From: \_\_\_\_\_ To: \_\_\_\_\_  
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

**[NOTE:** A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

July 15, 2002  
Date

  
Signature

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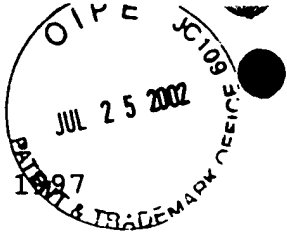
**TECH CENTER 1600/2900**

Margaret Mary Kozik Richardson

Typed or printed name

Director, Office of Patents and Licensing

Title



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

MARCH 20, 1997

PTAS



KLAUBER & JACKSON  
DAVID A. JACKSON, ESQ.  
411 HACKENSACK AVENUE, 4TH FLOOR  
HACKENSACK, NJ 07601

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KLAUBER & JACKSON

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NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/13/1997

REEL/FRAME: 8302/0055  
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

PELTZ, STUART W.

DOC DATE: 01/07/1997

ASSIGNOR:

DINMAN, JONATHAN D.

DOC DATE: 01/07/1997

ASSIGNOR:

CUI, YING

DOC DATE: 01/07/1997

ASSIGNEE:

UNIVERSITY OF MEDICINE AND DENTISTRY OF NEW JERSEY  
30 BERGEN STREET  
NEWARK, NEW JERSEY 07107-3000

SERIAL NUMBER: 08724992

FILING DATE: 10/04/1996

PATENT NUMBER:

ISSUE DATE:

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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Attorney Docket No. 601-1-044

To the Honorable Commissioner of Patents

100339439

Original documents or copy thereof.

1. Name of conveying party(ies):

Stuart W. Peltz, Jonathan D. Dinman, and Ying Cui

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name

Other: \_\_\_\_\_

Execution Date: January 7, 1997

2. Name and address of receiving party(ies):

Name: University of Medicine and Dentistry of New Jersey

Address: 30 Bergen Street

Newark, NJ 07107-3000

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

08/724,992

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David A. Jackson, Esq.

Address: KLAUBER & JACKSON

411 Hackensack Avenue, 4th Floor

Hackensack, New Jersey 07601

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed

☒ Authorized to be charged to deposit account, if necessary - for overages or underpayments only

8. Deposit account number:

11-1153

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paul F. Fehlner

Name of Person Signing

Paul F. Fehlner

Signature

Reg. No. 35,135

1/10/97

Date

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**ASSIGNMENT**

WHEREAS, we, the undersigned

Stuart W. Peltz, a citizen of the United States,  
residing at 67 Castle Pointe Blvd.  
Piscataway, New Jersey 08854;

Jonathan D. Dinman, a citizen of the United States,  
residing at 8 Aspen Drive  
North Brunswick, NJ 08902; and

Ying Cui, a citizen of the People's Republic of China  
residing at 4 Hopkins Court  
Plainsboro, New Jersey 08536

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ASSIGNORS, have invented certain new and useful improvements in

**PROTEINS INVOLVED IN TARGETING OF PEPTIDYL TRANSFER CENTER, AND  
CORRESPONDING THERAPEUTIC AGENTS AND METHODS**

for which we have filed an Application for Letters Patent in the United States on October 4,  
1996 as U.S. Serial No. 08/724,992; and

WHEREAS,

UNIVERSITY OF MEDICINE AND DENTISTRY OF NEW JERSEY,  
a body corporate and politic of the State of New Jersey,  
with a principal business address at Administration Complex,  
30 Bergen Street, Newark, New Jersey 07107-3000

ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said  
improvements and the said application;

NOW, THEREFORE, FOR other good and valuable consideration, the receipt of which is  
hereby acknowledged, we the said

ASSIGNORS,

have sold, assigned, transferred and set over, and by these presents do hereby sell, assign,  
transfer and set over, to the said

ASSIGNEE,

its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, including the right to claim priority under the terms of any appropriate International Convention based upon said application for Letters Patent of the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said

ASSIGNEE,

its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that we have full right to convey the interest herein assigned in the manner hereinabove set forth, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said

ASSIGNEE,

its successors, legal representatives and assigns, any fact known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisions, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said

ASSIGNEE,

its successors, legal representatives and assigns, to obtain and enforce proper Patent Protection for said improvements in the United States.



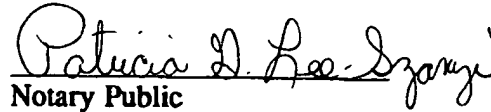
IN TESTIMONY WHEREOF, we hereunto set our hand and seal the day and year set opposite our signatures.

Date 1/7, 1997

  
STUART W. PELTZ L.S.

State of New Jersey :  
: ss.  
County of Middlesex :

Before me this 7<sup>th</sup> day of Jan. 1997, personally appeared STUART W. PELTZ, to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

  
Notary Public

(Affix Seal Here)

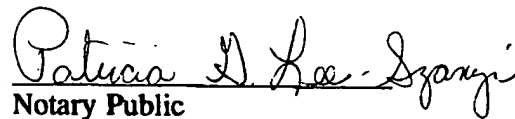
PATRICIA G. LEE-SZANYI  
NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires May 14, 2000

Date Jan. 7, 1997

  
JONATHAN D. DINMAN L.S.

State of New Jersey :  
: ss.  
County of Middlesex :


Before me this 7<sup>th</sup> day of Jan. 1997, personally appeared JONATHAN D. DINMAN, to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

  
Notary Public

(Affix Seal Here)

PATRICIA G. LEE-SZANYI  
NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires May 14, 2000

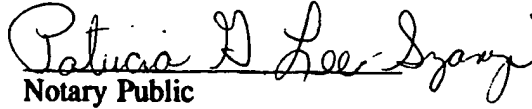
Date 1/7/97, 19

 L.S.  
YING CUI

State of New Jersey :  
: ss.

County of Middlesex :

Before me this 7<sup>th</sup> day of Jan. 1997, personally appeared YING CUI, to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

  
Notary Public

(Affix Seal Here)

PATRICIA G. LEE-SZANYI  
NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires May 14, 2000